

Atty. Docket: ORM-166CI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant.

Eric Chapoulaud, Craig A. Andreiko,

Mark A. Payne

Title:

CUSTOM ORTHODONTIC APPLIANCE FORMING METHOD AND APPARATUS

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10 Express Mail' mailing label number <u>EL915036125US</u> Date of Deposit August 28, 2001 I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1 10 on the above date and is addressed to the Assistant CommissionEr for Patents, Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION	
Assistant Commissioner for Pate	nts
Washington, D.C. 20231	

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☐ Original (non-provisional) application. ☐ Divisional of prior application Serial No. ___, filed on ___. ☑ Continuation of prior application Serial No. PCT/US00/35558, filed on December 29, 2000, which claims priority to Provisional Serial No. 60/173,890, filed on December 29, 1999. □ Continuation-in-part of prior application Serial No. ___, filed on .
- For Divisional and Continuation applications ONLY.
 - □ Copy of Executed Declaration, Power of Attorney and Petition Form from parent application is enclosed (as noted below in Enclosures).
 - Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

For all Divisional, Continuation and Continuation-in-part applications:

Small Entity Status was requested in the prior application, and small entity status is still proper
and desired.
This application is assigned to by virtue of an earlier assignment filed in the prior application
at Reel , Frame .

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- ☑ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. $\underline{20}$ total claim(s), of which $\underline{4}$ is(are) independent, is(are) pending after the amendment.

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☐ Small Entity Status is Requested

☒ The Fees are Calculated as Follows:

Fee	Number of Clarins	In Excess of	Extra	At Rate	Amount
Total Claims	20	20	0	\$18	\$0.00
Independent Claums	4	3	ı	\$80	\$80 00
MULTIPLE DEPENDEN					
BASIC FEE	\$710 00				
TOTAL OF ABOVE CA	S790 00				
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$790.00

ENCLOSURES

- ☑ Utility Patent Application Transmittal Form containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- ☑ Return Postcard.

APPLICATION PAPERS

- ☑ Utility Patent Application, with: cover sheet, <u>37</u> page(s) specification (including <u>48</u> total claim(s), of which <u>9</u> is(are) independent), and <u>1</u> page(s) abstract.
- ☑ Drawings: 23 sheet(s) of formal drawings (28 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- □ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☑ An <u>Unsigned</u> Declaration, Power of Attorney and Petition Form.
- Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to ___, Recordation Cover Sheet (Form PTO-1595)
- **☒** Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)
- □ Other: __

CHECKS

- ☐ A Check of __ for the filing fee.
- ☐ A Check of __ for the assignment recording fee

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. _ in the amount of __.
- ☐ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. __. A duplicate of this transmittal is attached.
- **☒** THE PAYMENT OF FEES IS BEING DEFERRED.

Respectfully Submitted,

Reg. No. 25,686

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JRJ/ah